



STATE OF RHODE ISLAND

Department of Behavioral Healthcare, Developmental Disabilities & Hospitals

OFFICE OF LICENSURE AND STANDARDS
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March 17, 2026

To All BHDDH Licensed Providers,

In an effort to ensure that each agency is in compliance with 212-RICR-10-00-1 (Rules and Regulations for the Licensing of Organizations and Facilities Licensed by the Department of Behavioral Healthcare, Developmental Disabilities, and Hospitals), the BHDDH Office of Licensure and Standards has partnered with the BHDDH Office of Financial Management and is sending this reminder of each provider's obligation to comply with 212-RICR-10-00-1, § 1.17(B)(2), which states that the ***"Organization shall contract with an independent certified public accountant to conduct an annual audit of the Organization's financial statements."*** Additionally, pursuant to 212-RICR-10-00-1, § 1.17(B)(3), the Organization ***"shall provide audited financial statements, audit findings and recommendations, including corrective action plans, and any supplemental schedules, as may be required by the Department."***

A thorough review of the licensing files has revealed that not all provider agencies are in compliance with the regulatory requirement that mandates an ***annual*** audit be conducted and submitted to the Department. To remedy this, the Office of Licensure and Standards, and the Office of Financial Management have set an annual submission deadline, for which all licensed providers must forward their annual independent audits to the Department for review. It is the Department's intent to establish conformity, compliance, and projected regularity.

Please submit your **FY 2025** audited financial report to BHDDH Licensing and Fiscal by e-mailing to both Rob Aguon, robert.aguon@bhddh.ri.gov and Susan Costa, Susan.Costa@bhddh.ri.gov, by **September 30, 2026**. Please note that this applies to all agencies. If you are currently undergoing a licensing audit, please check with the assigned surveyor for further guidance.

If after due diligence your agency will not be able to meet the September 30, 2026, deadline, a request for a variance (on the form provided) must be submitted in accordance with 212-RICR-10-00-1, § 1.15, no later than July 1, 2026. Your request will be considered, but absent a compelling reason, an extension is not guaranteed, and a

licensing action may be taken if the audit is not completed by the **September 30** deadline.

It is the expectation of the Department that all licensed providers will submit their annual audited financial reports no later than **September 30th** of each year unless the Department has granted, in writing, a timely submitted variance extending the deadline to comply.

Please direct any questions you may have regarding the annual submission of your independent audited financial reports to Rob Aguon, Administrator of the Office of Licensure and Standards.

Thank you for your anticipated cooperation.

Kind regards,

Rob Aguon

Rob Aguon
Associate Administrator I
Office of Licensure and Standards
(401) 462-1589

Susan Costa

Susan Costa
Administrator II
BHDDH Fiscal Compliance
Office of Financial Management

cc: Louis A. Cerbo, Ed.D., Deputy Director
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Enclosure